OAPPLICATIONS OF NEXT-GENERATION SEQUENCING

Genome-wide allele-specific analysis: insights into regulatory variation

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Abstract | Functional genomics is rapidly progressing towards the elucidation of elements that are crucial for the *cis*-regulatory control of gene expression, and population-based studies of disease and gene expression traits are yielding widespread evidence of the influence of non-coding variants on trait variance. Recently, genome-wide allele-specific approaches that harness highthroughput sequencing technology have started to allow direct evaluation of how these *cis*-regulatory polymorphisms control gene expression and affect chromatin states. The emerging data is providing exciting opportunities for comprehensive characterization of the allele-specific events that govern human gene regulation.

Gene expression is a complex trait that is influenced by *cis*- and *trans*-acting genetic and epigenetic variation and also by environmental factors. To date, characterization of the human genetic variation that affects gene expression has largely focused on expression quantitative trait loci (eQTL) mapping¹. However, direct assessment of *cis*-regulatory variation requires allele-specific approaches.

Allele-specific analyses of genome function hinge on the intrinsic power of using a within-sample control — the other allele - to sensitively and specifically query the effects of genetic and epigenetic differences on cis-regulatory control in diploid genomes. Early allelic analyses of gene expression² or transcriptional activity3 indicated the power of allelic-specific approaches but were restricted to individual loci. Recent advances in genomic technologies are now making allele-specific analyses of expression, DNAprotein interactions and chromatin states (including DNA methylation or modified histone occupancy) possible at a genomewide scale across the human genome.

So what are the advantages of using methods with allelic discrimination to focus on *cis* effects in functional assays? In principle, elimination of environmental or *trans*acting influences that alter gene expression or DNA–protein interactions should provide higher sensitivity for uncovering the direct influence of sequence and epigenetic variation in *cis*-regulatory elements. This advantage can be illustrated in a simple scenario: expression of a transcript is under strict negative-feedback control (that is, transcription is repressed by an increasing amount of the transcriptional product) and therefore the total expression of the transcript varies little across samples with different *cis*-regulatory genotypes. However, in heterozygotes, allele-specific studies can readily detect differences in expression between the alleles and therefore reveal the effect of *cis*-regulatory genetic variation (FIG. 1).

Early results indicate that allele-specific differences among transcripts within an individual can affect up to 30% of loci and, at the population level, ~30% of expressed genes show evidence that their cis regulation is influenced by common alleles4. In population studies, an even larger proportion of genes showed allelic variation in expression that could not be mapped, which could be due to rare genetic variants or epigenetic effects. Therefore, allele-specific genetic and epigenetic effects on gene regulation are likely to be widespread. Furthermore, surveys of allelic expression and allele-specific DNA-protein interactions have so far been limited to single cell types and small sample sizes. Consequently, only a subset of loci has yielded data at sufficient depth to assess

allele specificity. Expansion of such efforts is timely given the number of common disease associations to non-coding DNA sequences⁵.

In this Progress article, I discuss the techniques, results and challenges of the current transition of human functional genomics from diploid or allele-insensitive analyses to haploid or allele-specific interrogation of our genome. Although further technical improvements would be advantageous, allele-specific analyses show great promise.

Genome-wide allele-specific analysis

The extraction of functional data with allelic resolution can be directed to variable sites (polymorphisms) that have been identified within the genome or, alternatively, next-generation sequencing (NGS) technologies can be used for global functional genomics assays. In this section, I discuss these two general approaches and their relative advantages.

Polymorphism-directed approaches. The rapidly advancing characterization of common variants in the human genome provides an opportunity to query individual variant sites for allele-specific function. The advantages of this approach are twofold: only sites likely to be informative (heterozygous) for allelic analyses are observed, which increases the information density of the genomic data; and these same sites can be targeted in genomic DNA control samples with equal allelic content, which can control for the technical biases inherent in quantitative analysis of allele ratios (FIG. 2a).

Genome-wide genotyping arrays provide a convenient and relatively low-cost approach for assessing allele specificity of polymorphic sites in a range of contexts, including: expressed transcripts using genomic DNA and RNA (converted to cDNA) in parallel^{4,6,7}; regulatory element DNA prepared by chromatin immunoprecipitation (ChIP)8; and methylated DNA, prepared by methylation-sensitive restriction enzyme digestion of genomic DNA9. However, coverage of allelic differences in regulatory elements can be low because current standard SNP arrays contain only a small subset of polymorphic regulatory elements (<5%). In the case of

DNA methylation, coverage is also limited because methylation differences are only detected at sites that are recognized by the restriction enzyme used in DNA preparation. However, multi-exon transcripts span large genomic regions and include intronic SNPs. Therefore, the use of RNA that contains unspliced primary transcripts in allele-specific expression analysis⁶ provides information about a larger proportion of genes than if only coding SNPs are assayed. Combined with ongoing improvements in genome-wide genotyping arrays¹⁰, this will allow allelic expression studies of nearly all human genes⁴.

An alternative approach to assay allelic expression is the use of custom padlock probes to capture known exonic polymorphisms on a large scale^{11,12}. This method allows targeted analysis of tens of thousands of sites in the genome. The digital quantification of the alleles in captured sequences from a genomic DNA control and corresponding RNA (cDNA) is carried out by NGS followed by allele counting of the short reads (using the same approach as used previously for quantifying alleles in PCR or RT-PCR products)¹³. Given the highly selective capture achieved by this method, over one-third of expressed SNPs yield greater than 50-fold coverage using a single Illumina Genome Analyser 2 sequencing flow cell. This approach was recently extended to understand allelic variation in

DNA methylation by padlock capture of CpG islands in bisulphite-treated DNA¹⁴, which shows that functional elements involved in gene regulation can be targeted for allelic analysis.

The parallel analysis of control (untreated or genomic) DNA and test samples (RNA, protein-bound DNA or methylated DNA) helps to limit artificial allelic biases in these polymorphism-directed techniques and also confirms the heterozygosity of the control sample at each informative SNP. Nevertheless, the control and test samples are present at variable concentrations, so additional normalization and combination of data across multiple measured polymorphisms are required to yield quantitative estimates of allelic biases⁴.

Global approaches. The rapid progress in sequencing technologies is transforming functional genomics and allowing unbiased views of transcriptomes or functional DNA elements. The single-base resolution and digital nature of the data provide information on the abundance and the allelic biases in transcripts or regulatory DNA, which could not be achieved using hybridization-based microarrays.

The first assessments of allelic expression in the human genome have used NGS of the transcriptome (RNA-seq)¹⁵⁻¹⁷ (FIG. 2b), and these studies present converging views on the current potential and limitations of

this technology. As RNA-seq collects short sequence reads relatively uniformly across expressed transcripts and these reads correlate with the abundance of the RNA species, genes that have abundant genetic variation in their mRNA and are robustly expressed in the studied tissue can yield high coverage for expressed polymorphic sites. RNA-seq reads (with filtering of clonal reads) at the polymorphic sites provide digital estimates of allelic abundance, and simple statistical approaches (for example, binomial tests) allow the detection of sites that show potentially skewed expression. The power of these statistical tests depends on the read counts at the polymorphic sites. Owing to the unequal representation of different RNA species (that is, highly expressed transcripts are sampled at much higher coverage) and limited genetic variation in the mRNA in some cases, only a minority of expressed genes vield useful information on allelic expression at the transcriptome-sequencing coverage depths presented to date (10-20 million 36 bp reads per sample). Notably, RNA-seq is the only approach that provides concurrent allelic and total expression data, which is attractive for the within-study validation of *cis*-regulatory changes detected by total expression^{16,17}. This technique also provides the future possibility of quantifying the relative contribution of cis- versus transregulatory changes, as shown earlier for model organisms using independent assays¹⁸.





Figure 1 | The advantage of allelic expression measurements in the presence of trans-acting feedback. As an example of the benefits of allele-specific assessment of expression compared to total expression measurements, consider a situation in which a simple negative-feedback loop represses expression of the transcript of interest (a). Here, it is assumed that in a population a true cis-regulatory difference exists between alleles A and B and there is no direct interaction between the trans-acting regulator and alleles A and B. The activity of allele A is higher but overall expression output in the AA homozygote is strongly repressed by the homeostatic feedback mechanism (bold repressive arrow). BB homozygotes intrinsically express lower levels of the regulated transcript and so negative feedback is not active (crossed arrow). AB heterozygotes have intermediate levels of negative feedback (light repressive arrow). Therefore, if total expression is measured across the three genotypes (expression quantitative trait loci mapping) (b, left graph), the negative feedback decreases the variance between individuals. However, allelic output in AB heterozygotes still reflects the intrinsic activity difference between cis-regulatory alleles and this can be detected using allelic expression measurements (b, right graph). In a heterozygous individual, both alleles are under the control of the same negative feedback and share other non-cis-acting factors (for example, environment). Consequently, even in the presence of extreme feedback, allelic expression measurements can uncover cis variation.

Allele specificity in specific transcription factor-DNA interactions in living cells can be found systematically by mapping transcription factor-binding sites by ChIP-chip followed by assaying the immunoprecipitated DNA by quantitative genotyping¹⁹ (FIG. 2b). ChIP-seq and related approaches are now widely being applied to study transcription factor binding, histone modifications and DNase I hypersensitivity. ChIP-seq allows the detection of total binding at specific sequences and also of allele-specific chromatin activity in cases in which heterozygous sites overlap ChIP-seq peaks. For example, a recent report extended global allele-specific analysis by NGS²⁰ across individuals to active chromatin (DNase I hypersensitivity) and DNA-protein binding (ChIP-seq for CTCF).

This early phase of studies of expressed transcripts and regulatory DNA based on counting NGS reads from individual alleles has faced a common challenge: currently, all alignment methods for short sequence reads are biased towards the alleles that are represented in the reference genome^{15,20,21}. Therefore, careful adjustment for the resulting artificial allelic biases is required. The lack of a parallel reference sample (which would need to be high-coverage, full genomic DNA sequence generated by the same method) has limited most studies to already sequenced and/or deeply genotyped genomes or resulted in the exclusion of extreme allelic deviations that may either be sequence errors or true polymorphic sites that have strong biased expression of one allele¹⁵.

A second difficulty, alluded to above, is the relatively low coverage of polymorphic sites in all global NGS studies to date, which leaves only a small subset of potentially informative sites amenable to analysis. Indeed, a recent simulation study²² suggested that, for genomes with relatively low levels of heterozygosity (such as human), higher average coverage than is normally achieved in RNA-seq studies may be required to provide the power to detect allelic biases. For example, hundreds of reads per base pair may be required for subtle variants (those with <1.5-fold difference between transcripts)22. Therefore, none of the studies published to date have come close to the coverage required to include the full range of allelic biases in the genome. Decreasing sequencing cost and improved analytical methods should alleviate the concerns of limited coverage and alignment biases, respectively. An alternative approach was suggested by Heap et al.15, in which the



Figure 2 | Targeted and global approaches to study allele-specific function. a | Polymorphismdirected approaches can integrate data from SNPs (green bars) in unspliced primary transcripts⁴ or target specific exons (yellow)^{11,12}. When primary transcripts are studied (left side), quantitative measurements are taken for multiple phased polymorphisms in the same transcript (mean allele ratio in cDNA) and normalized to genomic DNA from the same sample (mean allele ratio in DNA). In the targeted method (right side), highly informative sites (such as common variants in exons) are captured and digital quantification by next-generation sequencing (NGS) of the polymorphic bases (allele 1 and allele 2) at heterozygous sites is carried out in parallel for cDNA and genomic DNA. Biased allelic expression is defined by differences in allele counts (using the chi-square test). The advantages of polymorphism-directed approaches are that the data provide the maximum information about allelic effects and allelic biases detected in RNA are normalized to genomic DNA. **b** | NGS-based functional assays such as RNA-seq¹⁵⁻¹⁷ and ChIP-seq²⁰ can be used to interrogate allelic effects when reads overlap a site that provides a high-quality heterozygote call (that is, a site with a polymorphism confirmed by a high coverage of reads). The ratio of reads from each allele (allele 1: allele 2) is calculated. Allelic bias at such sites is determined if this ratio deviates from the expected 50: 50, based on binomial statistics. Notably, given the average heterozygosity in the human genome, only a small proportion of the data generated will be informative for allelic biases. As polymorphic sites are not specifically targeted, the depth of sequencing required to obtain allelic resolution may be high. Experimental biases (such as technical causes for unequal allele counts) require careful consideration as the genomic DNA sample is not assayed in parallel with the cDNA and data cannot be normalized to correct for these biases.

transcript region of interest was enriched by sequence capture and then subjected to high-coverage NGS analysis. This allowed detection of both exonic and intronic (in primary transcripts) expressed SNPs at increased coverage. However, the capture process introduces another variable that has potential for spurious allelic biases.

Understanding allelic biases

Prevalence of allelic biases. Differential allelic expression has been widely studied. On a per-sample basis, similar estimates of the proportion of SNPs (at approximately 10% false-discovery rate based on biological replicates) or loci that show differential allelic expression are emerging from SNPtargeted studies: 20% of sites show 1.5-fold12 and 30% of measured transcripts show 1.2-fold⁴ difference between alleles. The reported per-sample allelic bias rates from RNA-seq¹⁵ or ChIP-seq data²⁰ are presently lower, and range from 7 to 11% of sites measured. One likely reason for the discrepancy is the relatively low power of the current non-targeted NGS studies to detect subtle allelic differences, as discussed above. However, undetected technical biases in targeted studies might also contribute. Overall, the data to date suggests that at least 20% of transcripts show measureable allelic differences in regulation within one cell type, but much of the variation is subtle and therefore it may be difficult to ascertain biologically significant phenotypic differences, even at a cellular level. At the population level, in 53 unrelated immortalized lymphoblastoid cell lines, up to half of the expressed loci show allelic differences that were detected in over 10% of samples studied⁴.

Determinants of allelic biases. Twin studies23 and the much higher correlation of allelic expression within independent cell lines from the same individual^{11,12} compared to allelic expression between individuals suggest a genetic basis for allelic expression differences. Furthermore, a positive correlation between eQTL alleles detected by RNA-seq and allelic biases in corresponding informative polymorphisms are observable both in lymphoblasts from Yoruban African¹⁷ and Caucasian (CEU)¹⁶ individuals. The latter study noted that many of the significant differences in allelic expression were observed in genes that were not detected to have eQTLs. It was suggested that in some cases this allelic variation may be explained by rare variants because the lengths of haplotypes shared by these loci were larger than expected16. In phased CEU lymphoblasts, when differential allelic expression was used as a quantitative trait and mapped to local common variants (those with a minor allele frequency of 10% or greater), up to one-third of expressed transcripts showed significant association with the local common variants⁴. Furthermore, 90% of these mapped associations showed Mendelian segregation of allelic expression in independent

three-generation pedigrees, which provides strong evidence for heritability of differences in allelic expression. Finally, ChIP-seq data showed that there was preferable transmission of allelic biases in CTCF binding from parents to offspring²⁰. Therefore, these studies highlight *cis*-regulatory sequence variation as the most common tractable mechanism for functional allelic biases.

Allelic differences in expression that depend on the parent of origin of the allele - which suggest imprinting - accounted for less than 1% of population variation in cis regulation in lymphoblasts⁴. Also, in a study of human lymphoblasts, features consistent with imprinting were found in <2% of sites that showed allelic biases in chromatin activity²⁰. It should be noted that random monoallelic expression⁶ has been detected in individually derived clonal cell lines and suggested to be a confounder for all allelic expression phenomena in immortalized cells24. However, attempts to control for this 'epigenetic noise' did not substantially alter the results of mapping common cis-regulatory SNPs (rSNPs) by allelic expression⁴. Nevertheless, an excess of extreme allelic expression is observable in immortalized cells versus primary cells⁴, suggesting that some stochastic phenomena induced in cell culture may account for a small fraction of detected allelic biases.

Tissue specificity of allelic expression. The percentage of *cis*-eQTLs that show tissue specificity has been suggested to be $50-90\%^{25,26}$. By contrast, when cells from the same individual are reprogrammed using induced pluripotent stem cell technology and differences in *cis* regulation are directly monitored by targeted allelic expression tests at different stages of reprogramming,

only 10% of loci substantially alter their allelic expression¹¹. Similarly, heritable allelic expression traits detected in lymphoblasts predicted 40-70% of the variance in allelic expression when the same loci were analysed in primary cells from the mesenchymal lineage⁴. Overall, the higher estimates of the tissue independence of cis-regulatory variation that have been observed by monitoring allelic expression may indicate that cis-eQTL mapping is more sensitive than allelic methods to broader changes - such as differences in overall expression levels between tissues - that reflect environmental influences. Indeed, if less stringent cut offs for ciseQTL significance are used²⁴, the estimates of tissue-independent heritable variation obtained from the two methods converge4 and at least half of cis-regulatory SNPs show effects in multiple cell types.

Further characterization of tissue-specific genetic variation in the context of differences in chromatin structure and the overall regulatory patterns of the genes involved are now needed. This work will be important to understand how to optimally correlate disease-associated genomic regions with functional genomic data.

Allelic chromatin states and expression.

Chromatin states predict gene expression patterns and, consequently, allelic differences in chromatin would be expected to yield changes in allelic expression status. This general assumption seems to hold true for sequence-specific changes in DNA– protein interactions⁸ and for sequencespecific alterations in DNA methylation⁹. In a genome-wide study, SNPs were recently identified that correlate with population variation in DNA–protein interactions (assessed by ChIP-seq), which also showed

Glossary

Clonal read

NGS produces, in principle, independent reads from each molecule in the input sample. However, in some cases, the amplification of molecules yields copies of the same short read, which can potentially bias allelic read counts.

DNase I hypersensitivity

The susceptibility of a genomic region to digestion by DNase I. Promoter, enhancer and other active regulatory DNA sequences are more easily digested than inactive non-coding sequences.

Expression quantitative trait locus

A locus at which gene expression variance in a population —typically measured by microarrays or by RNA-seq — correlates significantly with genotype. This locus can be near the measured gene (*cis*) or elsewhere in the genome (*trans*).

Histone modification

Regulatory elements in actively transcribed versus repressed loci have differences in post-translational modifications (for example, methylation or acetylation of lysines) of histones that can be identified by ChIP using modification-specific antibodies.

Padlock probe

An oligonucleotide with 5' and 3' sequences that are specific for target regions of the genome and are separated by generic sequence. After binding to its target, the probe can be circularized by ligase, and the generic sequence portion is used to amplify or capture the probes.

Standing genetic variation

Allelic variation that is currently segregating within a population; as opposed to alleles that appear by new mutation events.

that *cis* variation influences gene expression and regulatory DNA activity across the genome²⁷. Allelic expression traits that map to functional DNA elements have also revealed allelic differences in chromatin activity (assayed by nuclease sensitivity) and DNA–protein binding²⁸. More comprehensive combination of allele-specific tools is now required to establish how often and what types of allelic chromatin states predict differential expression of alleles.

Allelic differences and disease SNPs

Disease alleles that map to non-coding DNA are often assumed to alter gene regulation in *cis*. Analysing the intersection of independent allelic expression-mapping and disease association data (FIG. 3a) allows estimation of how well the functional data and disease traits are correlated^{4,28}. Furthermore, this approach can establish whether there is global enrichment of disease alleles among *cis*-regulatory variants⁴, which can be used to evaluate the appropriateness of a tissue for studying a specific disease. Cell-based functional traits also have higher effect sizes than disease traits so cell-based traits might allow more robust isolation (functional fine mapping) of putative causal alleles²⁸. Alternatively, links between disease-associated SNPs and their allele-specific function can be made by hypothesis-driven tests for expression^{29,30} or chromatin activity³¹ (FIG. 3b).

The obvious advantages of gathering independent mapping data for functional and disease traits may be offset by the need to have phased genotype data from the cells used in allelic expression tests³². However, alternative methods to establish statistical correlations between allelic function and SNPs in unphased allelic expression data are emerging²⁹. At present, the data are scarce for estimating the global use of allelic functional assays in the dissection of disease, although efforts so far have shown promise.

Conclusions and future directions

Functional genomic assays based on NGS technologies that allow read-outs at single nucleotide resolution are now routinely yielding allele-specific data. Consequently, in the short-term, the benefits of these internally controlled assays for understanding human genome function will be widely evaluated. The current data suggest that functional allelic effects are frequent, interrelated and could allow disease associations to be translated to molecular mechanisms.

There are several considerations for the optimization of future study designs. First, the depth of sequencing required for allelic analyses is much higher than that required to observe total expression or DNAprotein-binding events, and alignment methodologies need to be unbiased by the reference genome. Therefore, high-coverage parallel genomic sequence data will eventually be needed to ensure the capture of extreme deviations in allelic biases and also to allow their fine mapping in populations. Second, different cellular lineages should be sampled, for example, by taking advantage of adult cell-reprogramming methods to conclusively establish the effect of the epigenetic environment on genetic cis regulation. In addition, collection of data from familybased samples will allow the straightforward



Figure 3 | Cis-regulatory SNP mapping by allelic expression. a | In a population of CEU (Caucasian) lymphoblastoid cells, allelic expression was mapped across the B lymphoid tyrosine kinase (BLK) gene by using the average allelic bias detected at expressed intronic and exonic SNPs (red track) (data from Reference⁴). Allelic expression in phased population samples was used as a quantitative trait and was correlated with local SNP genotypes using a regression test. This yielded very strong ($p = 1 \times 10^{-20}$) association of primary transcript allelic variation to the 5' proximal region of BLK (blue track). The region of strongest cis-(regulatory SNP) rSNP association also contains the top association for systemic lupus erythematosus susceptibility³³ (rs13277113 highlighted in green). b | Fogarty et al.²⁹ provided several tests to apply in cases in which individual SNPs in coding regions are tested for allelic expression and the goal is to understand whether disease-linked SNPs alter cis regulation. Applying the F-test²⁹ using coding SNP allelic expression data provided by Ge et al.⁴ in a distal exon in the BLK gene (highlighted in orange in part a), the heterozygotes for the disease SNP showed significantly more allelic expression variance compared with homozygotes. This type of allelic expression test does not require high-density allelic expression data nor the ability to accurately phase genotypes but, compared to a transcript-mapping approach⁴, its power to detect differences is lower as the variance of single allelic expression measurements can be high owing to non-biological reasons and only a subset of samples are informative for allelic expression. Part a modified from Nature Genetics REF. 4 © (2009) Macmillan Publishers Ltd.



correlation of *cis*-rSNPs with allelic functional data. The parallel collection of allelic data at multiple levels of genome function is also required. This should include the analysis of sequence-specific DNA-protein interactions, general chromatin activity (such as histone modifications and DNase I hypersensitivity) and gene expression to allow the simultaneous interpretation of the mechanistic basis and consequences of allelic differences. In addition, higherorder regulatory organization (for example, chromatin conformation) could, in future, be queried at allelic resolution. The development of longer NGS read-lengths would allow more comprehensive assessment of relative transcript isoform differences, which require the simultaneous identification of a polymorphic base and altered mRNA structure. Finally, larger sample sizes would allow examination beyond common variants and maximize the use of standing genetic variation in understanding genome function. Undoubtedly, progress towards these goals will play an important part in understanding the 'genetic code' of non-coding DNA and its role in phenotypic variation among humans.

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